

In Place of FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

Serial No. 10/022,/65
Applicant: Brown et al.

Filing Date: 12/17/2001

Group: 26/3

Atty. Docket No. AUS92001084/US1

Reference	Designation
reference	Designation

U. S. PATENT DOCUMENTS

Examiner <u>Initial</u>	Document Number	<u>Date</u>	Name .	Class	Sub- class	Filing Date if Appropriate
BKT AA	US 5,499,288	3/12/96	Simultaneous Voice Recognition and Verification to Allow Access to Telephone Network Services	379	88	3/22/94
BY AB	US 5,673,404	09/30/97	End-User Customizable Feedback Display for Windowed Applications	395	347	12/20/95
BIG. AC	US 5,790,637	8/4/98	Extended Voice Messaging	379	67 .	2/16/96
BIG AD	US 5,915,001	6/22/99	System and Method for Providing and Using Universally Accessible Voice and Speech Data Files	379	88.22	11/14/96
BICT AE	US 5,940,476	8/17/99	System and Method for Identifying an Unidentified Caller	379	88.02	6/28/96
BU AF	US 5,946,654	8/31/99	Speaker Identification Using Unsupervised Speech Models	704	246	2/21/97
BILT. AG	US 6,038,305	3/14/00	Personal Dial Tone Service with Personalized Caller ID	379	207	8/1/97
BKT AH	US 6,058,364	5/2/00	Speech Recognition of Customer Identifiers Using Adjusted Probabilities Based on Customer Attribute Parameters	704	252	11/20/97
BL AI	US 6,101,242	8/8/00	Monitoring for Key Words with SIV to Validate Home Incarceration	379	88.02	12/23/97

BICT. AJ US 6,122,357 9/1 /00

Provising Enhanced
Services Through Double
The Tone

379 207 4/8/98

BIY AK US 6,178,230 1/23/01

System and Method for Identifying a Callee of an Incoming Telephone Call 379 67.1 11/13/97

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation
BILT. AL	JP 10294784A	11/04/98	Japan	HO4M1	57	No
BILT. AM	JP 8139797A	05/31/96	Japan	HO4M1	274	No
BIG. AN	EP 0585004A2	03/02/94	European	но4м3	44	Yes
BILT AO	EP 0676882A2	10/11/95	European	HO4M1	27	Yes
<u></u> E	<u> </u>					

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial

	=			
BUT	_ AP	WPAT	Derwent	1994-067503
BKT	_ AQ	WPAT	Derwent	1995-346415
BICT	_ AR	WPAT	Derwent	1996-315499
BICI	AS	WPAT	Derwent	1999-031530

Examiner: Birth K. TiEu Date Considered: 12-12-2005

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

In re application of: Brown et al.

Serial No.: 10/022,165

Confirmation Number: 3985

RECEIVED OCT 1 \$ 2004

Filed: 12/17/2001 Art Unit: 2643

Docket: AUS920010844US1 Technology Center 2600

Sheet _1_ of _2_

US PATENT DOCUMENTS

Substitute for Form 1449/PTO

Examiner	Document Number	Publication	Patentee/	Classification
Initials		Date	Applicant	
BICT	US - 5,206,899	04/1993	Gupta, et al.	379/120
BILT	US - 5,381,467	01/1995	Rosinski et al	379/121.01
BILT	US - 5,602,907	02/1997	Hata et al.	379/114.22
BKI	US - 5,754,663	05/1998	Levy, Robert Bergman	379/114.21
BILT	US - 5,805,680	09/1998	Penzias, Arno Allan	379/118
BILT.	US - 5,822,411	10/1998	Swale et al.	379/114.22
BKT.	US - 5,859,900A	01/1999	Bauer et al.	379/114.21
BILT.	US - 5,872,834	02/1999	Teitelbaum, Neil	379/93.03
SICT.	US - 5,943,405	08/1999	Morikawa et al.	379/118
BKT.	US - 5,978,450	11/1999	McAllister et al.	379/88.02
B14.	US - 6,047,051	04/2000	Ginzboorg et al.	379/130
BKT.	US - 6,195,419	02/2001	Gilboy, Christopher P.	379/114.26
B14.	US - 6,282,274	08/2001	Jain et al.	379/114. 26
B14.	US - 6,324,269	11/2001	Malik, Dale W.	379/114.23
BKT.	US - 6,327,346B1	12/2001	Infosino, William J.	379/88.02
B14.	US - 6,381,317	04/2002	Bala et al.	379/114.23
BKT.	US - 6,546,238	04/2003	Nightingale, et al.	455/406
BILT	US - 2003/0108163	06/2003	Brown et al.	379/88.01
Bx+	US - 2003/0108160	06/2003	Brown et al.	379/88.01
B14.	US - 2003/0108163	06/2003	Brown et al.	704/273
814.	US - 2003/0108158	06/2003	Brown et al.	379/88.01
BKI.	US - 2003/0108161	06/2003	Brown et al.	379/88.01
BAT	US - 2003/0108159	06/2003	Brown et al.	379/88.01
BKT.	US - 2003/0112951	06/2003	Brown et al.	379/210.02
BKI	US - 2003/0112944	06/2003	Brown et al.	379/201.01
SKT.	US - 2003/0112928	06/2003	Brown et al.	379/088.22
BA4.	US - 2003/0112956	06/2003	Brown et al.	379/221.01
B14.	US - 2003/0112927	06/2003	Brown et al.	379/067.1
814. 814.	US - 6,603,843	08/2003	Hagemann, Joachim	379/111
BKT	US - 6,639,977	10/2003	Swope et al.	379/114.21

Examiner	0.1:(Date	
Signature	Dho Ve	Considered	15-15-5002

OCT 1 2 200

INFORMATION DISCLOSURE

Substitute for Form 1449/PTO

In re application of: Brown et al.

Serial No.: 10/022,165

Confirmation Number: 3985

Filed: 12/17/2001 Art Unit: 2643

Docket: AUS920010844US1

Sheet 2 of 2

FOREIGN PATENT DOCUMENTS

Examiner Initials	Line	Foreign Document	Publication Date	Patentee/ Applicant	Classification	Trans
		Number/Type			,,	

NON-PATENT LITERATURE DOCUMENTS

4	Author, Title, Type, Date, Pages, Volume/Issue, Publisher,	Trans
Initials	 City/Country where Published	
		1

Examiner	2	Date	12 10 2
Signature	AD A		12-12-2005

RECEIVED

OCT 1 5 2004

Technology Center 2600

PATENT 10/022,165 JUN 0 8 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Michael Wayne Brown, et al.

Serial No.: 10/022,165 Confirmation Number: 3985

Filed: 12/17/2001

Title: PROVIDING ACCOUNT USAGE

FRAUD PROTECTION

Atty Docket Number: AUS920010844US1

: Before the Examiner:

Group Art Unit: Amy J. Pattillo

: P.O. Box 161327 : Austin, Tx 78716

: 512-402-9820

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being transmitted via facsimile to the United States Patent Office at facsimile number 703-872-9306 on June 8, 2005 by Amy J. Pattillo.

Signature

6/8/05 Date

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Mail Stop Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications, or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to herein is "prior art" for this information unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

AUS920010844US1

. - 5

PTO/SB/08A (08-03)

2003/0112942

Approved for use through 07/31/2006. OMB 061-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Die collection of information unlaw it seemed a partial OUP control of the control of the

Substitute for form 1449/PTO	Complete if Known		
		Application Number	10/022,165
INFORMATION DISCLOSURE		Filing Date	12/17/2001
STATEMENT BY APPLICANT (Use as many sheets as necessary)	First Named Inventor	Michael Wayne Brown	
		Art Unit	2643
	Examiner Name	Tien	
Sheet 1 of 1		Attorney Docket Number	AUS920010844US1

				T DOCUMENTS	
Examiner Initials*	No.	Document Number Number-Kind Code ^{2 (Flacous)}	Publication Date MM-OD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Limes, Where Relevant Passages or Relevant Figures Appear
BKT.		US- 5.937,044	08/10/1999	Kim, Hack H.	
BKT		^{US-} 6,826,173	11/30/2004	Kung et al.	
		US-	·		
		US•			
		US-		 	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US	1		
		US-	1	 	
		US-			
		US-		,	
		US-	1		

		FOREI	IN PATENT DOCU	MENTS		
Examiner Cits F	Foreign Palent Document	preign Patent Document Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	T	
		Country Code ³ Number ⁴ TGrid Code ⁸ (F Isnown)	MM-DD-YYYY		Or Relevant Figures Appear	70
-	i					Г
				-		
				•		
					•	

Examiner	Oste	100
Signature C	Considered	111-0-200
N P		10 16 2001

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw time through citation if not in conformance and not considered, include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). *See Kinds Codes of USPTO Patent Documents at www.useig.gog/ or MPEP 601.04. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the period number of the patent document. *Wind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.
This collection of Information is required by 37 CFR 1.97 and 1.98. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Comfidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any commania on the amount of time you require to complete this form and/or suggestions for retaining this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-788-9199) and select option 2.